1 Translational Sports Medicine

- 2 Effect of ThymoQuin Black Cumin Seed Oil as a Natural Immune
- 3 Modulator of Upper-Respiratory Tract Complaints and

4 Psychological Mood State

5 Shawn M. Talbott^{1,2} and Julie A. Talbott²

6 ¹ Amare Global, Irvine CA 92614, USA.

- 7 ² 3Waves Wellness, Plymouth, MA 02360, USA
- 8 Correspondence should be addressed to Shawn M. Talbott; stalbott@amare.com

9

10 Abstract

- 11 This was a placebo-controlled, double-blind study designed to evaluate the effect of a
- 12 commercially available dietary supplement on upper-respiratory tract complaints (URTCs) and
- 13 psychological mood state. Thirty-seven marathon and half-marathon runners consumed 500mg
- 14 of black cumin seed oil extract (commercial name ThymoQuin®3%) or placebo daily during the
- 15 4 week supplementation period (3 weeks before and 1 week following a marathon or half-
- 16 marathon competition). We collected subjective and objective measures before and after
- 17 supplementation. Subjects completed the profile of mood state (POMS) psychological
- 18 assessment and a questionnaire style health log measuring health status and URTCs (subjective
- 19 measures), as well as provided saliva samples and fecal samples for measurement of cortisol and
- 20 microbiome balance, respectively (objective measures). Subjects in the ThymoQuin
- supplementation group (500mg black cumin seed oil extract) reported significantly fewer upper-
- respiratory tract complaints (URTCs) and better overall well-being, as well as lower cortisol and
- superior microbiome diversity compared to placebo. These results suggest that ThymoQuin black
- 24 cumin seed oil extract may improve immune system vigilance and overall well-being following
- the stress of endurance training and competition.
- 26

2728 Introduction

- 29 The immune system is traditionally thought of as the body's primary defense against external
- 30 pathogens such as viruses. Increasingly, research is demonstrating an expanded role of the
- 31 immune system as both a "shield" against viruses and also as a "communication organ" via its
- 32 contribution to psychological mood state and overall well-being.
- 33
- 34 Numerous studies have shown the close links between psychological factors such as stress, sleep
- 35 deprivation, and immune suppression leading to poor vaccine responses and increased upper-
- 36 respiratory tract infections (URTIs) and non-infection upper-respiratory tract complaints
- 37 (URTCs). For example, in athletes, heavy exercise or intense training may lead to increased

- susceptibility to URTI (Nieman et al., 1990; Peters and Bateman, 1983; Spence et al., 2007).
- 39 Intense exercise is a physical stressor that results in measurable immune challenges with
- 40 reductions in key immune system components such as neutrophils, natural killer cells, T cells
- 41 and B cells (Mackinnon and Hooper, 1994; Nieman et al., 1995; Ostrowski et al., 1998). Athletes
- 42 are particularly susceptible in the one to two-week recovery period after competitive endurance
- events, partially due to elevations in hormones such as cortisol that coordinate the stress response(Peters and Bateman, 1983). The net effect of an ongoing immune challenge is a weakened
- 44 (Peters and Bateman, 1985). The net effect of an ongoing infinute chanenge is a weakened
 45 immune system, which often results in URTIs/URTCs as well as detrimental effects on
- 45 Immune system, which often results in UKTIS/UKTCS as well as detrin46 psychological mood state.
- 47

48 Exercise stress is similar in certain ways to other stressors, such as psychological stress, which 49 can lead to a weakened immune system and increased susceptibility to URTI/URTC and other

- 50 disease states (Mackinnon, 1997). Although regular exercise is generally regarded as a buffer
- 51 against many detrimental effects of stress, psychological stress can also result from prolonged
- training and competition in both elite and recreational athletes with noticeable deteriorations
- 53 in mood state during intense training periods, and before and after endurance events (Achten et
- al., 2004; Hassmen and Blomstrand, 1991). Lifestyle factors, such as coping with daily stress,
- 55 may influence the immune response to exercise (Konig et al., 2000). Reductions in immune cell
- 56 populations, lowered antibody production and altered cytokine response have been observed due
- 57 to psychological stress (Cohen et al., 1999; Glaser et al., 1999).
- 58

59 A variety of intervention techniques can be used to ameliorate psychological and physical stress,

- such as administering selective dietary supplements containing immune modulating compounds
- 61 (Akerstrom and Pedersen, 2007; Nieman and Bishop, 2006; Peters et al., 1993). In ultra-
- 62 marathon runners, 600mg of vitamin C, taken 21 days before and 14 days after a 90 km race,
- 63 reduced URTI symptoms (Peters et al., 1993). Biological response modifiers such as beta-glucan,
- 64 enhance the innate immune response (Luhm et al., 2006; Niederman et al., 2002), helping to65 prime immune system function during and following various forms of chronic stress.
- 66
- 67 Black cumin seed (*Nigella sativa*) oil is widely used in various traditional Eastern and Ayurvedic
- 68 systems of medicine as a therapeutic tool for many different ailments and conditions. Nigella
- 69 sativa seeds have been used medicinally in the Middle East and Southeast Asia for over 2,000
- 70 years. Black cumin seeds are mentioned in the Bible and the Koran where they are referred to as
- 71 "the blessing seed," created by God in order to relieve difficult medical conditions. Black seeds
- 72 were also buried with Egyptian pharaohs to aid in the afterlife journey.
- 73
- 74 Extensive preclinical, animal, and clinical research has been conducted on N. sativa's properties
- and it is among the top ranked evidence-based herbal medicines. Most of the therapeutic
- 76 properties of this plant are attributed to its essential oil constituent, ThymoQuinone (TQ), and
- 77 modern black seed extracts can be standardized for TQ content (e.g. ThymoQuin, 3% TQ;
- 78 TriNutra, Israel). A number of laboratory and animal studies have demonstrated immune
- 79 modulation of black cumin seed via effects on hematopoietic stem cells, lymphocytes,
- 80 macrophages, T cells, dendritic cells (DCs), natural killer (NK) cells, and a variety of cytokines
- 81 (IFN, IL-2, TNF-alpha, IL-6, and others).
- 82

- Approximately 500 clinical trials have evaluated the efficacy of black seed preparations for a
- 84 variety of conditions. ThymoQuin (black cumin seed oil standardized to 3% ThymoQuinone) is
- 85 known to have broad-spectrum antimicrobial effects (including anti-viral, anti-bacterial, and anti-
- fungal) as well as for benefits related to longevity and overall well-being.
- 87
- 88 Stress-related immune alterations can be consequential for health; they can enhance
- susceptibility to infectious agents and influence the severity of infectious disease, diminish the
- 90 strength of immune responses to vaccines, reactivate latent viruses, and slow wound healing.
- 91

92 Materials and Methods

This study was done in accordance with the Helsinki Declaration, as revised in 1983, for clinical
research involving humans and was reviewed and approved by an external advisory board
(WCG-IRB, Puyallup, WA; Protocol #20202070).

- 96
- 97 The objective of this study was to recruit 40 healthy volunteers to participate in a research study
- 98 investigating the effects of dietary supplementation for one-month with black cumin seed oil
- extract (500mg ThymoQuin 3% ThymoQuinone and 1.8 Free Fatty Acid, TriNutra, Israel; N=20)
- 100 that may be immunomodulatory for improving immune system vigilance and psychological
- 101 stress versus Placebo (500mg Maltodextrin; N=20).
- 102
- 103 Subjects
- 104 We recruited healthy, fit, recreational runners who were experienced in training and competing
- 105 for half-marathon to marathon-distance events. Our subject pool completing all phases of
- 106 baseline pre-supplementation measurements, training, competition, and final post-
- 107 supplementation measurements included 37 subjects (Table 1). One subject in the ThymoQuin
- 108 group and two subjects in the Placebo group were lost to follow up. There were no adverse
- 109 events reported for either group.
- 110
- 111 Health log
- 112 Subjects completed a physical health questionnaire at baseline (pre-supplementation) and 4-
- 113 weeks (post-supplementation). The health log was a daily health perception log containing
- 114 questions related to overall health status and specific upper-respiratory tract complaints
- 115 (URTCs). The URTC-related symptoms measured included nasal congestion, runny nose, sore
- throat, sneezing, cough, fatigue, headache, general malaise and body aches. Reported symptoms
- 117 were totaled for each assessment period.
- 118
- 119 *Mood Assessment*
- 120 Changes in psychological mood state were assessed using the research-validated Profile of Mood
- 121 States (POMS) questionnaire to measure 6 primary psychological factors (tension, depression,
- anger, fatigue, vigor, and confusion) plus the combined global mood state as an indication of
- subjective well-being. The POMS methodology has been used in ~3,000 studies, and its validity
- is well established. The POMS profile uses 65 adjective-based intensity scales scored on a 0–4
- hedonic scale (e.g. "not at all" to "extremely"). The 65 adjective responses are categorized into
- the 6 mood factors (tension, depression, anger, fatigue, vigor, or confusion), tabulated, scored,
- and analyzed. The output of the POMS questionnaire is an assessment of the positive and
- negative moods of each subject at baseline and post-supplementation.

- 130 Salivary Cortisol
- 131 Cortisol is the major glucocorticosteroid stress hormone produced in the adrenal cortex and is
- actively involved in regulating many aspects of metabolism related to sports performance,
- 133 including blood pressure, anti-inflammatory function, gluconeogenesis, and immune function.
- 134 Cortisol production has a circadian rhythm. Levels peak in the early morning and drop to the
- 135 lowest concentration at night. Levels rise independently of circadian rhythm in response to
- stress. In the blood only 1 to 15% of cortisol is in its unbound or biologically active form, with
- the remaining cortisol bound to serum proteins. Unbound serum cortisol enters the saliva viaintracellular mechanisms, and in saliva the majority of cortisol remains unbound to protein where
- it can be easily collected and assayed as an index of overall stress exposure and recovery. Each
- 140 subject provided "first morning" saliva samples (upon awakening) for analysis of free cortisol at
- 141 baseline (pre-supplementation) and 4-weeks (post-supplementation).
- 142
- 143 Microbiome Assessment
- 144 Volunteers were provided with a take-home kit to obtain fecal samples in the privacy of their
- 145 home for analysis. The kit included detailed instructions and postage paid packaging for return
- directly to the lab. Each kit was numerically coded so that samples were blinded to the lab.
- 147 Microbiome analysis of fecal samples was carried out using the complete BiomeTracker system
- 148 (Wasatch Scientific, Murray, UT). Briefly, fecal samples were obtained by nylon swab and
- 149 placed into preservative binding buffer to lock the composition of bacteria in place. DNA was
- then purified using DNA columns and ~20ng of DNA from each sample was added to the
- reaction mixtures. Samples were processed on an ABI 7500 Fast (Applied Biosystems)
- instrument in duplicate. A "microbiome composite score" was generated as an overall average of
- 153 many different aspects of microbiome balance, including Bifidobacterium, Lactobacillus,
- 154 Akkermansia, Thermophilus, Firmicutes/Bacteroidetes (F/B) ratio, and others.
- 155
- 156 Data Management and Analysis
- 157 All questionnaires were hand-delivered or mailed to a central location and transcribed to a
- 158 central database. Subjects who did not complete the questionnaires or who submitted incomplete
- 159 questionnaires were dropped from the study and not included in the study analysis (3 subjects; 1 160 from the Supplement group and 2 from the Please group). Determine identified by subjects
- 160 from the Supplement group and 2 from the Placebo group). Data were identified by subject161 number and examined for accuracy and completeness. Tabulated data were analyzed with JMP
- 161 number and examined for accuracy and completeness. Tabulated data were analyzed with J 162 14.0 (JMP Statistical Discovery, Cary, NC) using standard parametric paired t tests, and
- 163 significance was assessed with a 2-tailed alpha level set at 0.05. Data are presented as average
- 163 significance was assessed with a 2-tailed alpha level set at 0.05. Data are presented as average164 values for each group (Placebo and ThymoQuin) before and after supplementation.
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166 **Table 1. Subject Demographics**

Group	Average Age	Men	Women
ThymoQuin	35 <u>+</u> 6	10	9
Placebo	36 <u>+</u> 5	10	8

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173 **Results**

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Following 4 weeks of supplementation with ThymoQuin, (3 weeks before and 1 week following an intense endurance run), we observed the following differences between the Supplement and

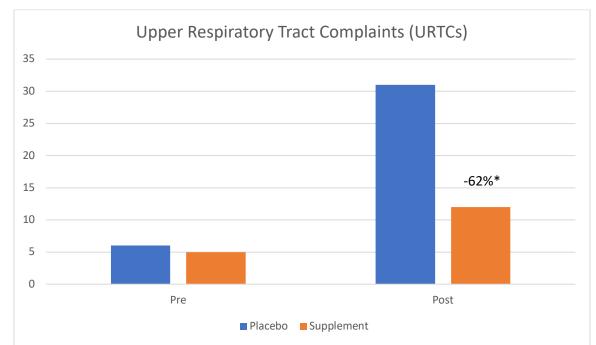
- 177 Placebo groups:
- 178
- 179 *Subjective Measures*

180 As expected, both groups reported dramatically more self-reported upper-respiratory tract

181 complaints (URTCs) following the endurance run compared with before (Figure 1). However,

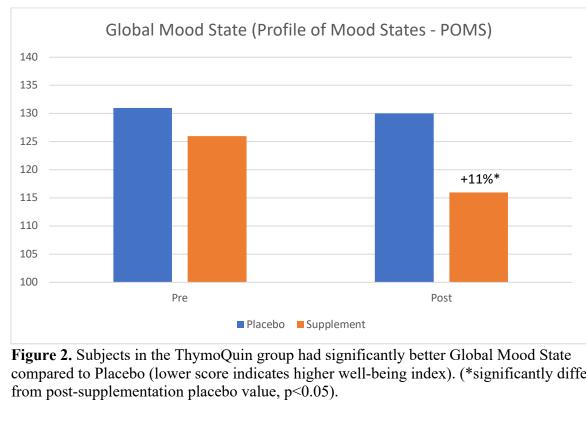
182 URTCs, including the total number of symptoms reported such as cough, sore throat, sniffles,

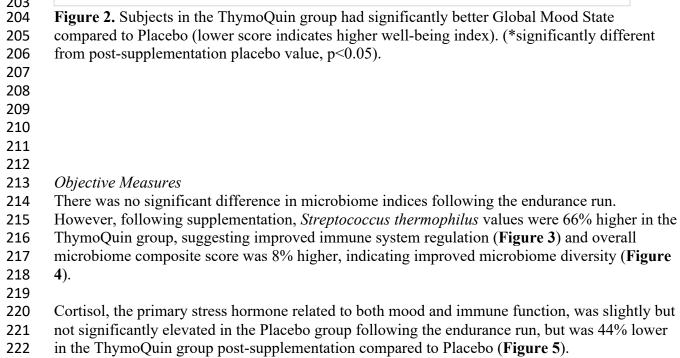
- stuffiness, etc., were 62% lower in the ThymoQuin group compared to placebo (Figure 1).
- 184185 There was no significant change in Global Mood State (e.g. "overall well-being"), following the
- endurance run in the Placebo group (Figure 2), while the ThymoQuin group demonstrated a
- 187 11% improvement (a lower number indicates a less negative psychological mood state).
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- 189
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- Figure 1. Subjects in the ThymoQuin group had significantly fewer self-reported upper
 respiratory tract complaints (URTCs) compared to Placebo. (*significantly different from post supplementation placebo value, p<0.05).
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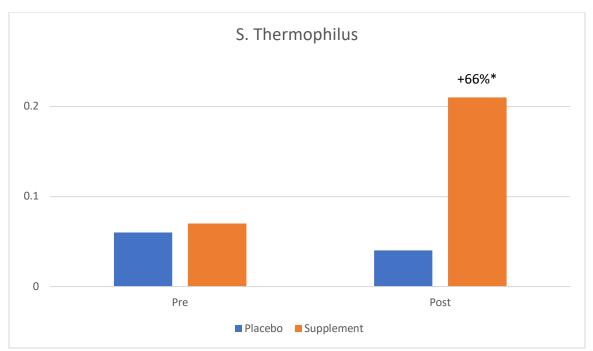


Figure 3. Subjects in the ThymoQuin group had significantly higher relative abundance of
 Streptococcus thermophiluis bacteria compared to Placebo. (*significantly different from post supplementation placebo value, p<0.05).

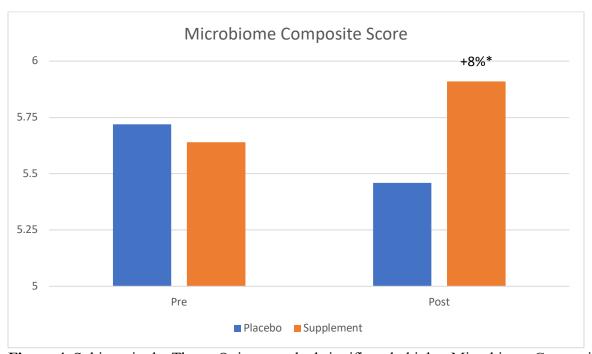


Figure 4. Subjects in the ThymoQuin group had significantly higher Microbiome Composite
 Score compared to Placebo. (*significantly different from post-supplementation placebo value,

234 p<0.05).

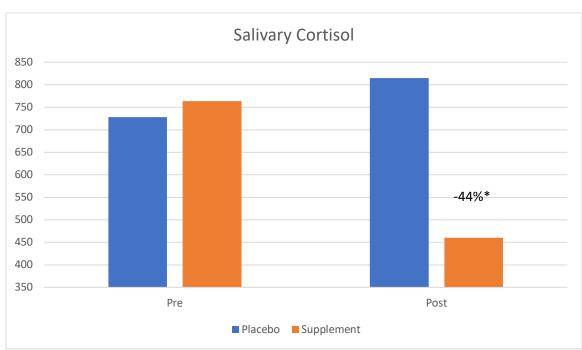


Figure 5. Subjects in the ThymoQuin group had significantly lower Salivary Cortisol (ng//ml)
 compared to Placebo. (*significantly different from post-supplementation placebo value,
 p<0.05).

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244 **Discussion**

245 These results demonstrate a significant and meaningful benefit of ThymoQuin supplementation 246 for the immune system as both a "shield" (protection from upper-respiratory complaints) and as a 247 "communication organ" (signaling well-being between body and mind and resulting in superior 248 psychological mood state). This linkage between body and mind across the Gut-Brain-Axis 249 involves many aspects of a coordinated and interconnected communication system linking the 250 gut microbiome (S. thermophilus) to the brain (psychological mood state) across the axis 251 (immune and stress response pathways). When the entire system is balanced, as evidenced here 252 in the ThymoQuin group, there is a noticeable benefit for physical health and mental wellness. 253

In order to "stress and suppress" the immune system of volunteers in our study, participants trained for and completed a strenuous endurance running event (half-marathon to marathon

255 trained for and completed a strenuous endurance running event (nan-maration to maration 256 distance) to induce both physical and mental stress, as well as to create a "susceptibility window"

- whereby a higher risk for upper-respiratory tract complaints (URTCs) is more likely to be
- observed in the control/Placebo group. Our hypothesis was that the group supplementing with
- 259 ThymoQuin as a natural immune modulator would demonstrate fewer URTC symptoms and
- 260 lower indices of mental/physical stress.
- 261

Rather than being an "immune-booster" to stimulate immune system activity, ThymoQuin may

- be considered as a natural "immune-modulator" that can help to balance overall immune system
- activity. Such natural substances represent an emerging approach to immunotherapy that either

elevates a suppressed immune system "up" to optimal – or calms an over-activated immune
system "down" to optimal – in a paradigm that we refer to as "priming" the immune system. A
properly primed immune system "pays attention" better to factors that it should fight (e.g.
viruses, bacteria, cancer cells, etc), while "ignoring" factors that should be considered nonharmful (e.g. pollen, mucus membranes, joint cartilage, etc).

270

The majority of both the microbiome and the immune system reside in the gut – forming a
symbiotic relationship and ensuring that the human body is protected from harmful pathogens.
Over time, our immune system shapes the diversity of our microbiome, and our gut influences
the development and vigilance of our immune system. For example, the gut microbiome acts as a
gatekeeper, and a trainer, and increasingly as a communication organ. In addition, the gut

276 microbiome interacts with the brain in multi-directional ways that involve the immune system

using neural, inflammatory, and hormonal signaling pathways (Margolis et al., 2021). These

immune-mediated signals from gut to brain have been implicated in many aspects of mental

health and well-being, including depression, anxiety, and overall psychological mood states(Margolis et al., 2021).

281

In this study, we report the effect of supplementing with ThymoQuin for 4 weeks on the physical 282 and psychological well-being of long-distance runners. The current study employed a series of 283 subjective self-assessment questionnaires that addressed overall health status and URTCs. In 284 addition to evaluation of subjects for physical health, a psychological assessment known as the 285 286 Profile of Mood States (POMS) was conducted to assess mood state. We also collected objective 287 markers of microbiome balance (Streptococcus thermophilus) and stress hormones (salivary cortisol), both of which are associated with immune system vigilance and psychological stress 288 289 response, and which may represent a possible mechanism by which immune function and

290 psychological mood state are related.

291

During the course of the 4-week treatment period (3 weeks before and 1 week after an intense endurance run), subjects in the ThymoQuin group reported fewer URTCs, better overall health and a more positive mood state compared to Placebo. In addition, supplemented runners also showed higher levels of *Streptococcus thermophilus* (S. thermophilus) and lower stress hormone exposure (cortisol) – both of which being associated with immune vigilance and psychological mood state.

298

Runners and other athletes, whose athletic activities cause significant physical stress, are more
susceptible to URTI (infections) and URTC (complaints). Previous research has reported that
athletes training for a marathon experience a deterioration in global mood state (Achten et al.,
2004; Hassmen and Blomstrand, 1991), and a number of studies have reported that nutritional
supplementation can modulate their health status (Nieman et al., 1990; Nieman and Bishop,
2006; Peters and Bateman, 1983; Peters et al., 1993; Spence et al., 2007).

305

306 Physical and psychological factors of subjects undergoing stressful situations are reported to

307 increase URTI and URTC (Cohen et al., 1999; Konig et al., 2000). In all cases, the subjects

308 supplemented with ThymoQuin experienced better physical health and a significantly improved

309 psychological status (Global Mood State), than those in the placebo group. ThymoQuin

310 participants reported both fewer URTC symptoms and a better overall health status. The URTC

- symptoms reported by subjects are typical of cold and flu symptoms, and analogous to symptoms
- reported in other studies (Cohen et al., 1999; Konig et al., 2000).
- 313
- The POMS assessment for psychological health strongly supported and mirrored the physical
- health assessment. Illness and stress impact the immune system in both physical and
- 316 psychological ways (Konig et al., 2000; Strasner et al., 2001). The POMS methodology has been
- used in more that 2,900 studies (McNair et al., 1971); thus it has well-established validity. The
- 318 survey instrument employs 65 adjective based scales that are scored by subjects without
- knowledge of how the scale scoring will be analyzed. The POMS survey instrument assesses the
- 320 overall global mood state of subjects analogous to a measurement of overall well-being and
- 321 mental resilience.
- 322
- 323 Previous work has shown benefits of beta-glucan for improving overall immune function,
- including following intense endurance exercise (Hetland et al., 1998; Hong et al., 2004;
- Kernodle et al., 1998; Vetvicka et al., 2002; 2008), and a range of other dietary supplements may
- help reduce URTI symptoms in athletes (Cox et al., 2008; Kekkonen et al., 2007; Peters et al.,
- 327 1993), i.e., zinc treatment reduced duration and severity of cold symptoms (Prasad et al., 2000);
- 328 probiotics (Lactobacillus fermentum) reduced the severity and duration of URTI in athletes (Cox
- et al., 2008); and vitamin C supplementation in ultramarathoners reduced the duration and
- severity of URTI when taken 21 days before an ultramarathon (90 km) (Peters et al., 1993).
- 331

332 Conclusion

- 333 In this study, ThymoQuin significantly decreased upper-respiratory tract complaints and
- improved psychological mood state following intense endurance training and competition.
- Additionally, ThymoQuin subjects had lower cortisol and superior microbiome parameters,
- 336 suggesting that immune vigilance and mental well-being is linked through the microbiome and
- 337 stress response pathways. These results add to the growing scientific literature and natural
- armamentarium for immune-modulation to both reduce URTI/URTC symptoms and improve
- 339 psychological mood state in "stressed" individuals (endurance athletes in this study).
- 340

341 Conflicts of Interest and Funding Statement

- 342 This study was funded by TriNutra, which manufactures and sells ThymoQuin black cumin seed
- oil, and conducted by 3Waves Wellness, which was compensated to carry out the trial. ST is an
- 344 employee of Amare Global, which sells a multi-ingredient dietary supplement that includes black
- cumin seed oil. ST and JT are owners of 3Waves Wellness, an independent research
- 346 organization.
- 347

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